

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1651  
#6/HRW  
04.30.02

In re Application of:

Katsuji Maehara et al

Serial No.: 09/869,297

Group Art Unit: Not Yet Assigned 1626

Filed: November 2, 2001

Examiner: Not Yet Assigned

For: A METHOD FOR PURIFYING AND ISOLATING (2S,3S)-OR (2R, 3S)-HALOHYDRIN  
DERIVATIVES

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 CFR 1.97(b)**

Commissioner for Patents  
Washington, D.C. 20231

April 25, 2002

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

No fee or certification is required in connection with this Information Disclosure Statement, since it is being submitted prior to the issuance of a first official action on the merits or expiration of the three month period following filing of the above-captioned application.

Documents AF and AK through AL were cited in the European Search Report dated April 5, 2002. A copy of the search report is also attached.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) and 1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and

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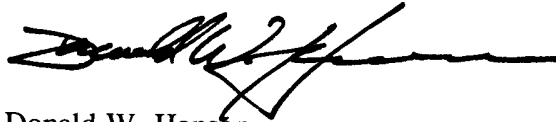
Serial No.: 09/869,297

that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge our Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP

A handwritten signature in black ink, appearing to read "Donald W. Hanson", written over a horizontal line.

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Enclosures: PTO-1449, search report and 3 references